

**DRAFT TEXT**  
on  
**AWG-LCA 8, item 3**

**Policy approaches and positive incentives on  
issues relating to reducing emissions from  
deforestation and forest degradation in  
developing countries**

**15 December 2009 @ 9:00**

[[Option 1

*[[Affirming that all Parties shall collectively aim to reduce emissions and increase removals by halting and reversing in forest cover and carbon loss in developing countries,]*

Option 2

*[[Affirming][Agreeing] that all Parties shall collectively aim to reduce emissions and increase removals by halting and reversing forest cover and carbon loss from deforestation and forest degradation in developing countries [by 2030, and by reducing gross deforestation and forest degradation in developing countries by at least 50 per cent of current levels by 2020][, provided that adequate support [in the amount of X] is made available [by yyyy]]],*

Option 3

*[[Affirming][Agreeing] that all Parties shall collectively aim to reduce emissions and increase removals by slowing, halting and reversing forest cover and carbon loss from deforestation and forest degradation in developing countries by 25 per cent of current levels, provided that adequate support in the amount of EUR 15–25 billion is made available, while seeking to halt deforestation by 2030 if adequate, predictable and sufficient resources are provided,]]*

*Encourages all Parties to find effective ways to reduce the pressure on forests that results in greenhouse gas emissions,*

[Option 1

*[Affirms that the following principles guide the implementation of activities referred to in paragraph 1 below:]*

Option 2

*[Affirms that the principles and provisions of the Convention guide the implementation of the activities referred to in paragraph 1 below, and that these activities should:]]*

- (a) Contribute to the objective set out in Article 2 of the Convention;
- (b) Be country-driven and [put forward] voluntary;
- (c) Be undertaken in accordance with national circumstances and capabilities of the country and respect sovereignty;
- (d) Be consistent with national sustainable development needs and goals;
- (e) Facilitate sustainable development, reduce poverty and respond to climate change in developing country Parties;

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- (f) Promote broad country participation;
- (g) Be consistent with the adaptation needs of the country;
- (h) Be [integrated into nationally appropriate mitigation actions] [developed within the context of a low greenhouse gas emission strategy];
- (i) Be subject to [upfront,][equitable, adequate, predictable and sustainable] financing and technology support, including support for capacity-building;
- (j) Be results-based;
- (k) Promote sustainable management of forests,

*Further affirms* that when undertaking activities referred to in paragraph 1 below, the following safeguards should be [promoted][supported]:

- (a) That actions complement or are consistent with the objectives of national forest programmes and relevant international conventions and agreements;
- (b) Transparent and effective national forest governance structures taking into account national legislation and sovereignty;
- (c) Respect for the knowledge and rights of indigenous peoples and members of local communities, by taking into account[, inter alia,] relevant international obligations, national circumstances and laws, and noting that the General Assembly has adopted the United Nations Declaration on the Rights of Indigenous Peoples;
- (d) Full and effective participation of relevant stakeholders, including in particular indigenous peoples and local communities, in actions referred to in paragraphs 1 and 3 below;
- (e)

## *[Option 1*

[Actions that are consistent with the conservation of natural forests and biological diversity, ensuring that actions referred to in paragraph 1 below are not used for the conversion of natural forests, but are instead used to incentivize the protection and conservation of natural forests and their ecosystem services and to enhance other social and environmental benefits;<sup>1</sup>]

## *Option 2*

[Actions that are consistent with the conservation of natural forests and biological diversity and that do not incentivize the conversion of natural forest to non-native species and maintain ecosystem services and other social and environmental benefits;<sup>2</sup>]

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<sup>1</sup> Taking into account the need for sustainable livelihoods of indigenous people and local communities and their interdependence on forest in most countries, reflected in the UNDRIPs and the International Mother Earth Day.

<sup>2</sup> Taking into account the need for sustainable livelihoods of indigenous people and local communities and their interdependence on forest in most countries, reflected in the UNDRIPs and the International Mother Earth Day.

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## *[Option 1*

- (f) [Actions to address the risks of non-permanence;]
- (g) [Actions to address additionality;]
- (h) [Actions to reduce displacement of emissions as much as possible,]

## *Option 2*

- (f) Where relevant for sub-national reporting
  - (i) [Actions to address the risks of reversals;]
  - (ii) [Actions to reduce displacement of emissions;]

## *Option 3*

- (f) [Actions to address the risks of reversals;]
- (g) [Actions to reduce international displacement of emissions and displacement within the national reference levels;]]]

1. *Decides* that developing country Parties should contribute to mitigation actions in the forest sector by undertaking the following activities:

- (a) Reducing emissions from deforestation;
- (b) Reducing emissions from forest degradation;
- (c) Conservation of forest carbon stocks;
- (d) Sustainable management of forest;
- (e) Enhancement of forest carbon stocks;

2. *Requests* the Subsidiary Body for Scientific and Technological Advice to undertake a work programme to identify land use, land-use change and forestry activities in developing countries, in particular those that are linked to the drivers of deforestation and forest degradation, to identify the associated methodological issues to estimate emissions and removals resulting from these activities, and to assess their potential contribution to the mitigation of climate change and report on the findings to the Conference of the Parties at the xx session;

3. *Requests* that a developing country Party aiming to undertake actions referred to in paragraph 1 above, [provided that support is made available,] in accordance with national circumstances and respective capabilities, develop:

- (a) A national strategy or action plan, and, if appropriate, a sub-national strategy, [as part of their low-carbon emission strategies and in accordance with decision xx/CP.15 (*Mitigation*)];
- (b) ...

*[Option 1: A national forest reference emission level and/or forest reference level, including, according to national circumstances, sub-national forest reference emission level and/or forest reference level with the assessment of emission displacement at national level,]*

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[*Option 2:* A national forest reference emission level and/or forest reference level, and/or sub-national forest reference emission levels and/or forest reference levels,]

taking into account decision zz/CP.15 [*SBSTA decision*] and any further elaboration of those provisions agreed by the Conference of the Parties;

(c)

## *Option 1*

[A robust and transparent national forest monitoring system for the monitoring and reporting of the activities referred to in paragraph 1 above[, and the safeguards], with, as appropriate, subnational monitoring and reporting as an optional interim measure,<sup>3</sup> in accordance with the provisions contained in decision zz/CP.15 (*SBSTA decision*) and any further elaboration of those provisions agreed by the Conference of the Parties;]

## *Option 2*

[A robust and transparent national forest monitoring system for the monitoring and reporting of the activities referred to in paragraph 1 above[, and the safeguards], with, as appropriate, sub-national monitoring and reporting as an optional interim measure,<sup>4</sup> in accordance with the provisions contained in decision zz/CP.15 (*SBSTA decision*) and any further elaboration of those provisions agreed by the Conference of the Parties;]

## *Option 3*

[A robust and transparent national forest monitoring system for the monitoring and reporting of the activities referred to in paragraph 1 above[, and the safeguards], and if appropriate a sub-national system as part of a national monitoring system in accordance with the provisions contained in decision zz/CP.15 (*SBSTA decision*) and any further elaboration of those provisions agreed by the Conference of the Parties;]

4. *Requests* developing country Parties when developing and implementing their national [action plan] [strategy] [or sub-national strategies] [to address], inter alia, drivers of deforestation and forest degradation, land tenure issues, forest governance issues, gender considerations and the safeguards identified in the preamble of this decision, ensuring the full and effective participation of relevant stakeholders, inter alia, indigenous peoples and local communities;

5. [*Decides* that the actions undertaken by Parties referred to in paragraph 1 above [should][shall] be implemented in phases, beginning with the development of national strategies or action plans, policies and measures and capacity-building (*phase 1*), followed by the implementation of national policies and measures, and national strategies or action plans and, as appropriate, sub-national strategies, that could involve further capacity-building, technology transfer and results-based demonstration activities [using conservative estimates] (*phase 2*), and evolving into results-based actions that shall be fully measured, reported and verified (*phase 3*);]

6. [*Recognizes* that the implementation of the phases referred to in paragraph 5 above, including the choice of a starting phase, depends on the specific national circumstances, capacities and capabilities of each developing country Party and the level of support received;]

7. [*Requests* the Subsidiary Body for Scientific and Technological Advice, at its [xx] session, to develop, as necessary, modalities for measuring, reporting and verifying anthropogenic forest-related emissions by sources and removals by sinks, forest carbon stocks, and forest area changes

<sup>3</sup> Including monitoring and reporting of emissions displacement at the national level.

<sup>4</sup> Including monitoring and reporting of emissions displacement at the national level, when the national stage is reached.

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resulting from the implementation of actions referred to in paragraph 1 above, and for robust and transparent [national] forest monitoring [and reporting] systems as specified in paragraph 3 (c) above[, and consistent with any guidance for measuring, reporting and verification of nationally appropriate mitigation actions by developing country Parties agreed by the Conference of the Parties], taking into account methodological guidance in accordance with decision zz/CP.15 (SBSTA), for its adoption by the Conference of the Parties at its [xx] session;]

7 bis. *[Requests* the Subsidiary Body for Scientific and Technological Advice, at its [xx] session, to develop guidance for [the implementation, monitoring and reporting of safeguards,] establishing [and reporting on] [national] forest reference emission levels and [national] forest reference levels referred to in paragraph 3 (b) above, [addressing displacement of emissions and reversals as appropriate,] [and early implementation action [under *phase 2* referred to in paragraph 5 above]], for adoption by the [Conference of the Parties] at its [xx] session;]

[7 ter. *Recognizes* the need for more precise estimates of emissions and removals for activities supported by the carbon market;]

[7 quarter. *Further requests* the Subsidiary Body for Scientific and Technological Advice to develop guidance for the international review of the information specified in paragraph 7 bis above, for adoption by the [Conference of the Parties] at its [xx] session;]

[7 quinquies. *Decides* to report the information specified in paragraph 7 bis above as part of the supplementary information incorporated in national greenhouse gas inventories;]

[7 sexies. *Decides* that a permanent independent body shall be established under the agreed outcome at Copenhagen to assess and approve the national reference emission level or reference level as described in paragraph 3 (b);]

8. *Requests* the SBSTA, at its [xx] session, to develop modalities for measuring, reporting and verifying the support provided by developed country Parties to support the implementation of actions referred to in paragraphs 1 and 3 above, [including the application of total transparency and disclosure in resource mobilization, allocation and disbursement];

9.

## [Option 1]

*Requests* developed country Parties [in a position to do so] to make [available predictable and adequate levels of financial resources] [for early actions and] for the promotion and implementation of [phase 1 and phase 2 activities] [readiness][enabling] activities [referred to in paragraph 5], including the safeguards identified in the fourth paragraph of the preamble above, referred to in paragraphs 1, 3, 4 and 8 above through [a [new fund][funding window of a new fund] established under the guidance of the Conference of the Parties][and][or][existing bilateral and multilateral channels];

9bis. *Decides* that Parties agree that the implementation of phase 3 activities as referred to in paragraph 5 above shall be supported by results-based payments from a [flexible] combination of funds and market-based sources [subjected to modalities to be agreed by the Conference of the Parties at its [xx] session].

9ter. *Also decides* that resource mobilization for actions undertaken by Parties referred to in paragraphs 9 and 9bis above shall be based on the following process:

- (a) International top-down assessment of resource requirements;

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- (b) National bottom-up strategy design and planning;
- (c) Establishment of advance funding and resource deployment commitments;
- (d) Implementation of national actions in accordance with paragraph (c);
- (e) Monitoring, reporting and verification of support and actions;
- (f) Evaluation of results and recommendations.]

[Option 2: Alternative 9, 9bis, 9ter, 12

Add (a) bis Contribute to the commitment set out in Article 4.3 of the Convention

Request that the promotion and implementation of all phases of activities referred to in paragraph 5 above, including consideration of safeguards in paragraph 5 of the preamble and activities, and early action, related to paragraphs 3 and 4 above, be supported, in accordance with relevant provisions of financial resources and institutional arrangements agreed by the Conference of the Parties [at its xx session][, including, inter alia, Decision xx/CP.15 (*finance*) [and Decision yy/CP15 (*1b(v)*).]]

[Option 3

*Decides* that developed country Parties shall make available adequate, predictable, accessible financial resources for promotion and implementation of activities referred to in paragraph 1 above through a fund consistent with Articles 4 paragraph 3 and 4 paragraph 7 of the Convention and Decision 1/CP.13 as well as provisions agreed by the Conference of the Parties.]

10. *Requests* Parties, relevant international organizations and stakeholders to ensure coordination of the activities referred to in paragraph 9 above, including of the related support, particularly at the country level;

11. [*Requests* the Subsidiary Body for Scientific and Technological Advice to develop modalities for the promotion and implementation of [readiness][enabling] activities in developing country Parties in accordance with the phases described in paragraph 5 above[, including the consideration of eligibility criteria], by its [xx] session, for adoption by the Conference of the Parties at its [xx] session;]

12. *Agrees* that the implementation of actions resulting from this decision [shall] [should] be supported in accordance with any provision of financial resources and institutional arrangements agreed by the Conference of the Parties, through:

- (a) Providing adequate, predictable and sustainable financial resources;
- (b) Providing coherence and coordination between various sources of financing;
- (c) Developing and applying guidance and criteria to link the actions to the support;
- (d) [Establishing the REDD plus Committee and the REDD plus Fund under the authority and guidance of the [XX] governing body as provided in decision -/CP.15 (Enhanced action on the provision of financial resources and investment) and further decisions to be adopted by the Conference of the Parties;]
- (e) [The [XX] governing body shall develop criteria based on the principles listed in paragraph XX above to ensure that national implementing and executing entities have access to funding;]

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- (f) [In order to submit National Plans and to directly access funding, Parties and implementing or executing entities chosen by governments shall meet the criteria adopted by the [XX] governing body in accordance with paragraph (e) above.]]

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