
Presentation at the DPCG Meeting
Bonn, March 2017
Structure of the presentation

A. Context and Background

B. AU CAADP Malabo Business Plan

C. Programs and subprograms

D. Delivery model to support implementation


F. Call for Action: Building a Joint Partnership Arrangement/Common Framework for Engagement to finance the BP

G. Proposed timeline for resource mobilization and coordination

H. Priority Actions for 2017
AU Malabo Business Plan:
...ensuring alignment to and consistency with AU Agenda 2063

CAADP Malabo Declaration

Agenda 2063 (+ first 10-year implementation plan)

Principles Values of CAADP
Investment finance in Agriculture (P & P)
Ending Hunger
Eradicating poverty through Inc. Ag Growth
Boosting Intra-Africa Trade
Resilience to Climate Change
Mutual Accountabili ty for Results

PARTNERSHIPS IN SUPPORT OF CAADP
CAADP-Malabo Commitments and Key Targets

C1: Commitment to principles and values of the CAADP process

C2: Enhancing Investment Finance in Agriculture (Equivalent to: Increased level and quality of public and private investments in agriculture):
- Public Sector to allocate 10% of total public expenditure to agriculture sector, and to ensure its efficiency and effectiveness

C3: Ending Hunger in Africa:
- At least double productivity, focusing on inputs, irrigation and mechanization
- Reduce post-harvest losses (PHL) by 50% by 2025;
- End malnutrition in all its forms: reducing stunting to 10% and underweight children to 5%;

C4: Reducing Poverty through Inclusive Agricultural Growth and Transformation:
- Sustained annual agricultural GDP growth of at least 6%;
- Halving Poverty by the year 2025;
- Establish and/or strengthen inclusive public private partnerships for at least five priority commodity value chains with strong linkages to smallholder agriculture;
- Create job opportunities for at least 30% of youth population in agricultural value chains;

C5: Boosting intra African Trade in Agricultural Commodities and Services:
- Triple intra-African trade in agricultural commodities and services

C6: Resilient Livelihood, production systems for Climate Change, Variability, other risks:
- Ensure that at least 30% more farm/pastoral households be resilient to climate & weather risks

C7: Enhanced Mutual Accountability for Strategic Actions and Results
CAADP-Malabo Result framework

Level 1 – Agriculture's Contribution to Economic Growth and Inclusive Development

1.1 wealth creation
1.2 Food & Nutrition Security
1.3 Economic opportunities, poverty alleviation and shared prosperity
1.4 Resilience and sustainability

Level 2 – Agricultural Transformation and Sustained Inclusive Agricultural Growth

2.1 Increased agriculture production and productivity
2.2 Increased intraAfrican regional trade and better functioning of national & regional markets
2.3 Expanded local agric industry and value chain development inclusive of women and youth
2.4 Increased resilience of livelihoods and improved management of risks in the agriculture sector
2.5 Improved management of natural resources for sustainable agriculture

Level 3 Strengthening Systemic capacity to deliver results

3.1 Effective and inclusive policy design and implementation processes
3.2 Effective and accountable institutions including assessing implementation of policies and commitments
3.3 Strengthened capacity for evidence based planning, implementation & review
3.4 Improved multi sectoral coordination, partnerships and mutual accountability in sectors related to agriculture
3.5 Increased public and private investments in agriculture
3.6 Increased capacity to generate, analyze and use data, information, knowledge and innovations
AU CAADP Malabo Business Plan
…what is unique about it?

• Presents a consolidated roadmap which outlines priority programs for catalyzing strategic actions at continental, regional and especially at country level.

• Takes a programmatic & results-driven approach

• Performance based implementation and strong accountability mechanisms

• Team work and clarity of division of labor among AU players
Process of Formulating the Business Plan

• Participatory approach led by AUC, NPCA, RECs

• Inputs from partners during the CAADP Business Meeting held in November 2017

• Internal DREA validation workshop in January 2017

• Final draft completed and shared with DPCG
## Programmatic & Results-Focused Approach: BP Programmes aligned to Commitments

<table>
<thead>
<tr>
<th>Malabo Commitments</th>
<th>Business Plan Programmes</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>C1:</strong> Commitment to principles and values of the CAADP process</td>
<td><strong>P1:</strong> Strengthening Country and Regional Systems for CAADP Programme Delivery</td>
</tr>
<tr>
<td><strong>C2:</strong> Enhancing Investment Finance in Agriculture</td>
<td><strong>P2:</strong> Expanded public and private sector Investments</td>
</tr>
<tr>
<td><strong>C3:</strong> Ending Hunger in Africa</td>
<td><strong>P3:</strong> Increased Agricultural Productivity and Strengthened Nutrition and Food Security</td>
</tr>
<tr>
<td><strong>C4:</strong> Reducing Poverty through Inclusive Agricultural Growth and Transformation</td>
<td><strong>P4:</strong> Inclusive and Sustainable Agricultural Production and Accelerated Agricultural Growth</td>
</tr>
<tr>
<td><strong>C5:</strong> Boosting intra African Trade in Agricultural Commodities and Services:</td>
<td><strong>P5:</strong> Expanded Intra-African agricultural trade in Agriculture</td>
</tr>
<tr>
<td><strong>C6:</strong> Resilient Livelihood, production systems for Climate Change, Variability,</td>
<td><strong>P6:</strong> Enhanced Resilience Building, and Climate Change and Risk Management</td>
</tr>
<tr>
<td>other related risks</td>
<td></td>
</tr>
<tr>
<td><strong>C7:</strong> Enhanced Mutual Accountability for Strategic Actions and Results</td>
<td><strong>P7:</strong> Strengthened Programme Coordination, Partnerships, and Mutual Accountability for Strategic Results</td>
</tr>
</tbody>
</table>
P 1: Supporting Country and Regional systems

- Strengthened agricultural policy and regulatory frameworks, and evidenced-based and results-focused planning and budgeting for the agricultural sector;

- Enhanced agricultural sector organizational and functional arrangements, capacity and performance;

- Strengthened in country and regional coordination and accountability mechanisms; and

- Strengthened and use of partnerships and alliances, including farmer organizations, apex bodies, agribusiness, and civil society.

P 2: Private and Public Investments

- Strengthened policy, regulatory & financing framework for catalyzing private sector investments;

- Enhanced level and quality of agricultural public expenditures;

- Expanded domestic and foreign investment promotion and levels;

- Inclusive and sustainable access to agricultural finance; and

- Strengthened public-private-partnership and dialogue (PPP&D).

P 3: Productivity Food Security and Nutrition

- Expanded access to and usage of agricultural inputs and mechanization;

- Enhanced post-harvest management (PHM);

- Expanded homegrown school feeding and nutrition programmes;

- Strengthened food bio fortification of commonly consumed foods, especially to improve household nutrition of low-income households;

- Improved food and nutrition knowledge management and coordination; and

- Strengthened social protection and safety nets.

P 4: Inclusive and Sustainable Agricultural Production and Accelerated Ag. Growth

- Sustainable land management and governance;

- Enhanced irrigation and water management;

- Enhanced animal resources development;

- Strengthened technology generation, dissemination and adoption;

- Expanded agripreneurship for youth and women; and

- Competitive value chain and agro-industry development.
## P 5: Expanded Intra-Africa Trade for Agric
- Strengthened policy and trade regime harmonization;
- Enhanced food and trade standards, food safety and compliance;
- Expanded market-oriented infrastructure;
- Expanded agricultural growth zones/corridors; and
- Strengthened trade negotiation capacity.

## P 6: Enhanced Resilience Building, and Climate Change and Risk Management
- Improved Climate Early Warning and Response Systems;
- Mainstreaming of climate change and risk management approaches;
- Developing stronger climate change negotiation capacity (at country level);
- Strengthening natural resource management for enhanced climate change response and livelihoods (especially forestry management); and
- Improved Disaster Risk Reduction and Management.

## P 7: Strengthened Programme Coordination, Partnerships, and Mutual Accountability for Strategic Results
- Enhanced strategic knowledge management and scale-up;
- Improved strategic communication and advocacy;
- Strengthened continental, REC, and country-level coordination, partnerships and resource mobilization;
- Enhanced continental accountability, biennial reviews and M&E systems/reporting; and
- Strengthened leadership and political engagement for CAADP implementation.
Delivery Model for Malabo Implementation

**Guiding Principles**

- Catalytic and empowering role of AU-DREA and NPCA, working as a team;

- Strong performance-based and accountability orientation within AUC, NPCA and RECs;

- Emphasis on fostering effective partnerships and alliances with strategic stakeholders, within and outside the AU umbrella, working toward a common goal

**What do we deliver?**

- Formulation of strategic frameworks, good practices and tools for each of the sub-programmes outlined in the BP;

- Provision of high quality capacity building tools, training materials

- Provision of high quality and demand-driven support to RECS and member states

- Establishment and operationalization of effective frameworks and mechanisms for mutual learning and mutual accountability
## Resource Required to Support Implementation

<table>
<thead>
<tr>
<th></th>
<th>2017</th>
<th>2018</th>
<th>2019</th>
<th>2020</th>
<th>2021</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>PART I: &quot;CORE&quot; COSTS (DREA +NPCA)</strong></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>8357</td>
<td>9675.2</td>
<td>9675.2</td>
<td>9347.2</td>
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<td><strong>PART II: PROGRAMMES</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>P1: Supporting Country and Regional Systems for CAADP Program Delivery</td>
<td>2384</td>
<td>2123.3</td>
<td>1036.9</td>
<td>815.1</td>
<td>740.6</td>
<td>7099.9</td>
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<tr>
<td>P2: Expanded Public and Private Investments</td>
<td>2565</td>
<td>2353.5</td>
<td>974.8</td>
<td>800.5</td>
<td>211.3</td>
<td>6905.1</td>
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<tr>
<td>P3: Increased Agricultural Productivity and Strengthened Nutrition and Food Security</td>
<td>3597.8</td>
<td>2616.2</td>
<td>1340.6</td>
<td>928</td>
<td>549.2</td>
<td>9031.8</td>
</tr>
<tr>
<td>P4: Inclusive and Sustainable Agricultural Production and Expanded and Competitive Private Sector/Agro-Industry Development</td>
<td>1765.7</td>
<td>2576.2</td>
<td>2436.9</td>
<td>2054.8</td>
<td>1013.8</td>
<td>9847.4</td>
</tr>
<tr>
<td>P5: Expanded Intra-African trade in Agriculture</td>
<td>2094.8</td>
<td>1808.3</td>
<td>1870.8</td>
<td>1833.3</td>
<td>855.9</td>
<td>8463.1</td>
</tr>
<tr>
<td>P6: Enhanced Resilience Building, and Climate Change and Risk Management</td>
<td>2893.95</td>
<td>1690</td>
<td>1280.5</td>
<td>1023</td>
<td>155.8</td>
<td>7043.25</td>
</tr>
<tr>
<td>P7: Strengthened Knowledge Management, Program Coordination and Partnership, Policy Engagement, and Mutual Accountability</td>
<td>5160.2</td>
<td>3833.8</td>
<td>2220</td>
<td>1752.8</td>
<td>1236.1</td>
<td>14202.9</td>
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<td><strong>PART II Total</strong></td>
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<td></td>
<td></td>
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<tr>
<td><strong>Total PART I &amp; II</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>103668.5</td>
</tr>
</tbody>
</table>

Guiding Principles on Financing:

• Sound accountability processes and mechanisms;

• Robust transparent processes, especially regarding procurement of goods and services;

• Strong and periodic reporting mechanisms on outputs

• Partnerships for joint achievements and working together, with a strong results focus, and taking an increasing programmatic approach to funding

Main Sources

**Domestic/Africa Resource Mobilization:**
- AU Core Budget
- Member States resources
- Emerging African economies

**External Sources:**
- Development Partners
- Private Sector
- Technical Partners
- International foundations and NGOs
- South-South Cooperation
Financing mechanisms

1. Pooled fund (preferred)
   The reasons behind the preference
   ✓ Strengthened and harmonized framework for results
   ✓ Strong coordination and accountability
   ✓ Presence of similar facilities at AU
   ✓ Integrated reporting/Donor portal for transparent and timely information sharing

2. Earmarked program/project support
   ✓ Result based
   ✓ Alignment to the BP
   ✓ Strong coordination and accountability
Call for Action

• Discuss and Agree on the financing mechanisms and engagement model through a *Common Framework of Engagement (CFE)* or a *Joint Partnership Arrangement (JPA)* around the BP to be launched during the 13th CAADP PP.

• The mechanism should work for every partner interested in supporting the BP, either through a pooled fund or earmarked/project fund.
## Proposed Timeline for Resource Mobilization and Coordination

<table>
<thead>
<tr>
<th>Main Deliverables</th>
<th>Timeline</th>
</tr>
</thead>
<tbody>
<tr>
<td>▶ Draft and share a CFE /JPA for partners discussion</td>
<td>end March 2017</td>
</tr>
<tr>
<td>▶ Inputs in provided in CFM</td>
<td>April 2017</td>
</tr>
<tr>
<td>▶ Expression of partners on how they want to engage in the CFM</td>
<td>May 2017</td>
</tr>
<tr>
<td>▶ Launch the AU Malabo Business Plan and CFE at CAADP PP</td>
<td>31\textsuperscript{st} May - 2\textsuperscript{nd} June, 2017</td>
</tr>
<tr>
<td>▶ AU-Malabo M/E framework developed</td>
<td>June 2017</td>
</tr>
<tr>
<td>▶ Coordination mechanisms developed and institutionalized</td>
<td>June 2017</td>
</tr>
</tbody>
</table>
Priorities for 2017

1. NAIPs Appraisals/formulation

2. Biennial Review reporting and Agricultural Performance Scorecard

3. Integrating CAP-F into NAIPs

4. Organize 13th CAADP PP

5. Resources to implement the AU Business Plan
## Priority 1: NAIP Appraisals

<table>
<thead>
<tr>
<th>Malabo Process</th>
<th>Deliverables</th>
<th>Supporting Activities</th>
<th>Number of Countries for 2017</th>
</tr>
</thead>
</table>
| Domestication of the Malabo Declaration | • Malabo Declaration popular leaflets  
• Country profiles  
• Malabo Declaration country roadmap | • Rally and drive champion countries to develop profiles and roadmaps  
• Crowd in RECs to lead in the ‘domestication’ call  
• Drive increased public funds, private sector and development partners investments behind champion countries | 12                           |
| NAIP Appraisal and Formulation  | • Stocktaking report  
• Regular public-private platform  
• Independent technical review  
• NAIP appraisal  
• Stakeholder dialogue  
• Country plan of action | • Build & push national and regional public narratives around the technical review and NAIP appraisal/refresh processes  
• Align donors to regional and country plans of action  
• Support high level convenings that bring together public-private stakeholders  
• Drive champion country action plan development | 12                           |
| Budget                          | $600,000                                                                     | Available: $200,000 Gap:$400,000                                                                                                                                  |                              |

Countries supported to get the roadmap: Malawi, Kenya, Nigeria, Uganda. In March: Rwanda, Ethiopia and Ghana.
### Priority 2: Biennial Review and Scorecard

<table>
<thead>
<tr>
<th>Activities</th>
<th>Status</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Development of technical and reporting templates</td>
<td>Done</td>
<td>The work was led by DREA-NPCA with the support of technical partners; validated by PSs</td>
</tr>
<tr>
<td>Training of trainers</td>
<td>Done: 40 experts trained</td>
<td>Experts from the Technical network members</td>
</tr>
<tr>
<td>Regional trainings</td>
<td>Ecowas English covered, ECOWAS French on. To be covered: South/East: April; SADC: April, ECCAS: April; UMA: May</td>
<td>Grouped in 5 regional Trainings. ECOWAS, ECCAS, COMESA+EAC+IGAD, SADC, UMA</td>
</tr>
<tr>
<td>Design of the Scorecard</td>
<td>Draft ToRs available</td>
<td></td>
</tr>
<tr>
<td>Data collection by country and validation</td>
<td>From March to July</td>
<td>This will require support from country partners and country leadership</td>
</tr>
<tr>
<td>Regional compilation</td>
<td>August</td>
<td></td>
</tr>
<tr>
<td>Consolidation and validation at continental</td>
<td>September</td>
<td>The report must be presented to STC and through the approval process starting in October</td>
</tr>
<tr>
<td>Presentation of report to the summit</td>
<td>January 2018</td>
<td>Summary of the report as scorecard</td>
</tr>
<tr>
<td>Budget</td>
<td>1,400,000.00 covered 500,000 USD</td>
<td>Gap: 900,000 USD</td>
</tr>
</tbody>
</table>
## Priorities 3: Roll out of the CAP-F

<table>
<thead>
<tr>
<th>Activities</th>
<th>Status 2017</th>
<th>Remarks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Development of CAP-F guidelines and blueprint</td>
<td>Done</td>
<td>The work was led by DREA-NPCA with the support of technical partners. Validated by PSs</td>
</tr>
<tr>
<td>Sensitization on the CAP-F at country level</td>
<td>Target 12 Countries, 3 countries covered</td>
<td>Experts from the Technical network members</td>
</tr>
<tr>
<td>Support the design of Country CAP-F</td>
<td>Target 6 Countries</td>
<td>Grouped in 5 regional Trainings. ECOWAS, ECCAS, COMESA+EAC+IGAD, SADC, UMA</td>
</tr>
<tr>
<td>Value chain development</td>
<td>Target 3 value chains</td>
<td></td>
</tr>
<tr>
<td>Continental Private sector Apex</td>
<td>Concept developed and launched, legal status to be developed</td>
<td>This will require support from country partners and country leadership</td>
</tr>
<tr>
<td>CAP-F reporting and M&amp;E mechanisms</td>
<td>To be developed in May</td>
<td></td>
</tr>
<tr>
<td>Budget</td>
<td>Planned: 800.000 USD, Available: 200.000 USD</td>
<td>Gap: 600.000 USD</td>
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Theme: Strengthening Mutual Accountability to Achieve CAADP/Malabo Goals and Targets”

• Sub-theme#1: Fostering evidence-based planning and implementation: integrating Malabo into NAIPs:

• Sub-theme#2: Strengthening mutual accountability towards results and impact: biennial review and scorecard

• Sub-theme#3: Fostering private investment in Agriculture through value chain development for Youth’s job creation

Budget: $800,000
Available: $150,000
Gap: $650,000
<table>
<thead>
<tr>
<th>Activities</th>
<th>Budget (USD)</th>
<th>Available (USD)</th>
<th>Supporting Partners</th>
<th>Gap (USD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAIP Appraisal and Malabo domestication process</td>
<td>600,000</td>
<td>200,000</td>
<td>AGRA, USAID, EU, BMGF, GIZ, Member States</td>
<td>400,000</td>
</tr>
<tr>
<td>Biennial Review and Scorecard</td>
<td>1,400,000</td>
<td>500,000</td>
<td>AGRA, BMGF, FAO, Member States</td>
<td>900,000</td>
</tr>
<tr>
<td>Private Sector investment mobilization</td>
<td>800,000</td>
<td>200,000</td>
<td>AGRA, EU</td>
<td>600,000</td>
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<tr>
<td>13th CAADP PP</td>
<td>800,000</td>
<td>100,000</td>
<td>AGRA</td>
<td>700,000</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>3,600,000</strong></td>
<td><strong>1,000,000</strong></td>
<td></td>
<td><strong>2,600,000</strong></td>
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</table>
## Financing 2017 Priorities

<table>
<thead>
<tr>
<th>Priority</th>
<th>Budget ($)</th>
<th>Available ($)</th>
<th>Gap ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAIIPs Appraisal</td>
<td>600,000</td>
<td>200,000</td>
<td>400,000</td>
</tr>
<tr>
<td>Biennial Review</td>
<td>1,400,000</td>
<td>500,000</td>
<td>900,000</td>
</tr>
<tr>
<td>CAP-F Integration</td>
<td>800,000</td>
<td>200,000</td>
<td>600,000</td>
</tr>
<tr>
<td>13th CAADP PP Organization</td>
<td>800,000</td>
<td>150,000</td>
<td>650,000</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>3,600,000</strong></td>
<td><strong>1,050,000</strong></td>
<td><strong>2,550,000</strong></td>
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</table>
Call for Action: Short to medium term

• Agree on how to bridge the gap for 2017
• Discuss and Agree on the financing mechanisms and engagement model through a common framework of engagement or a joint partnership arrangement around the BP (Joint development of the CFE) to be launched during the CAADP PP.
• The mechanism will work for every partner interested in supporting the BP through the pooled fund, earmarked fund and budget support
THANK YOU